

# School of Electrical Engineering and Telecommunications

Term 1, 2021 Course Outline

# **ELEC9703** Microsystems Design & Technology

# **COURSE STAFF**

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Consultations: You are encouraged to ask questions on the course material, during and after the online lecture class times in the first instance, rather than via email. Lecturer consultation times will be advised during lectures. You are welcome to email the lecturer to arrange for a consultation time. ALL email enquiries should be made from your student email address with ELEC9703 in the subject line, otherwise they will not be answered.

Keeping Informed: Announcements may be made during classes, via email (to your student email address) and/or and teaching platforms learning – in this course, https://moodle.telt.unsw.edu.au/login/index.php and Microsoft Teams class CLS-ELEC9703\_T1\_5213\_7238. You have been added to the Microsoft Teams class and you will get calendar invitation for the online class schedule. Please note that you will be deemed to have received this information, so you should take careful note of all announcements. The Moodle name of this course is ELEC9703 Microsystems Design and Techno-2021 T1.

# **COURSE SUMMARY**

Microsystems technology is multidisciplinary in nature. It is also known as MEMS (Micro-Electro-Mechanical Systems) technology. The most important disciplines that intersect with Microsystems work are electrical engineering, mechanical engineering, microelectronic engineering, physics, material science, chemistry, fluidic engineering, photonics, biomedical engineering, biosciences etc. MEMS is truly an enabling technology which has penetrated into and begun to change the way major discipline do things, including biotechnology, storage technology, instrumentation, telecommunications, optical communications, Integrated Circuits and MEMS device packaging, medical technology etc. MEMS research, engineering development and modern manufacturing processes require close integration and collaborative interaction of experts from many disciplines. On the other hand MEMS researchers and engineers must be willing to cross interdisciplinary boundaries and acquire knowledge outside their discipline of expertise. Examples of MEMS devices produced in large volumes include, pressure sensors, flow sensors, inertial measurement units(IMU) like accelerometers and gyroscopes, micro-valves and micro-pumps, projection display chips, biosensors, inkjet nozzle arrays, optical cross-switches, RF switches, Lab on a Chip, etc., etc.

In short, this course will cover a wide range of topics related to MEMS fabrication technology and expand on to some of the design issues, bearing in mind the technology constraints. Can it be manufactured?! It will include examples of the design and fabrication of physical sensors like gyroscopes, accelerometers, etc. Furthermore, a course is not complete if we do not know what the current market drivers are for MEMS products and where the future holds for this exciting and fast expanding technology. Many people do not realise that the numerous savvy features we have in our mobile smart devices stem from advances in MEMS technology.

The subject will enable students to have a broad grasp of the multi-disciplinary nature of MEMS technology, bringing together the know-how of physicist, chemist, electrical and mechanical engineers, and mathematicians. It will provide the fundamental knowledge for students, who want to enter the MEMS industry. It is an exciting field of research and we should count ourselves fortunate to be witnessing and participating in this era of unparalleled technology advancement.

#### **Contact Hours**

This postgraduate course consists of 3 hours of lectures every week. Problem discussions are included in the lectures and will not be treated as separate tutorials. The lectures will be recorded, and students can refer to them at a later date. Note that this is not a replacement for lecture attendance. Furthermore, some of the topics will be covered by pre-recorded lectures. Students will be notified as to when these recorded lectures will be available as the course progresses. Please note that materials covered in the pre-recorded lectures are also examinable.

Lectures	Day	Time	Location
	Monday	6-9pm	Online using Microsoft Teams CLS-ELEC9703_T1_5213_7238

#### Context

The entire field of research in microsensors and microactuators has evolved at an exceedingly rapid pace over the past 35 years. It is often referred to as MEMS (MicroElectroMechanical Systems) or Microsystems Technology. Signals from the physical world around us are always in analog form. Yet, much of the signal processing is done in digital form by microelectronic circuits. Microsensors and microactuators are the interfaces between the digital electronic domain and the physical world. Sensors and actuators in various forms have been around for centuries but significant miniaturisation was not possible until the last few decades due to the significant technological advances in microfabrication techniques. In many cases, these new devices bring along new advantages over the traditional components like several orders of magnitude in size reduction, new functionality, and possibly integration of on-chip signal processing circuit (smart sensors/actuators). Many of the micro-fabrication techniques originate from the wealth of processes developed for the fabrication of integrated circuits. Yet, the MEMS business cannot be simply compared to the IC(Integrated Circuits) business. ICs deal with electrical signals whereas MEMS devices are interfaces to the physical world, to and from the electrical domain. As such, one would expect a more diverse, a more complicated overall environment, interacting effectively and accurately between the electronic domain and the outside world. The natural outcome of this is the vast and diverse range of MEMS devices. In fact, if one word were to be used to characterize the field of MEMS or Microsystems, it would be its multidisciplinary nature. The most important disciplines needed for Microsystems work are electrical engineering, mechanical engineering, microelectronic engineering, physics, material science, chemistry, fluidic engineering, photonics, biomedical engineering, biosciences etc. MEMS is truly an enabling technology which has penetrated into and begun to change the way major discipline do things, including biotechnology, storage technology, instrumentation, telecommunications, optical communications, MEMS device packaging, etc. etc. MEMS research, engineering development and manufacture must require close integration and collaborative interaction of experts from many disciplines. On the other hand MEMS researchers and engineers must be willing to cross interdisciplinary boundaries and acquire knowledge outside their discipline of expertise. Examples of MEMS devices produced on large volumes include, pressure sensors, accelerometers, micro-valves, micro-pumps, projection display chips, biosensors, inkjet nozzle arrays, optical cross-switches, RF switches, Lab-on-chip etc.

## Aims:

The course aims to expose students to the MEMS fabrication technology and the design approaches, and enable them to appreciate the many advances in the technology that has become the 'enabling technology' for many other disciplines. It is also the aim of the course to highlight the multidisciplinary nature of the course and its impact on design issues.

# Indicative Online Lecture Schedule

Period	Lecture Topics	Due dates
Week 1 (15/2)	Introduction to Microsystems: an overview and technology trends. Lithography	
Week 2 (22/2)	Thin Film Processes	
Week 3 (1/3)	Bulk Silicon Micromachining	0

Week 4 (8/3)	Surface Micromachining High Aspect Ratio Micromachining (HARM)	
Pre-recorded Lecture	Bonding Processes	
Week 5 (15/3)	Mechanics: Properties of materials, structures, energy methods	Assignment 1 due
Week 6 (22/3)	Flexible Week	
Week 7 (29/3)	Lumped modelling with circuit elements and system dynamics	Mid-session exam (1.0 hr) at 5pm Online
Week 8 (5/4)		Easter Holiday – public holiday
Week 9 (12/4)	Actuation mechanisms: Electrostatic, Electromagnetic, Electrothermal and Piezo-electric. Case studies.	
Week 10 (19/4)	Inertial sensors: Accelerometer, Gyroscopes, pressure transducers, Optical MEMS	Assignment 2 due

#### **Assessment**

Assignment 1	12.5%
Assignment 2	12.5%
Quiz	5.0%
Mid-term Exam (1 hours)	20.0%
Final Exam (2 hours)	55.0%

# **Important Health Related Notice**

Your health and the health of those in your class is critically important. You must stay at home if you are sick or have been advised to self-isolate by <a href="NSW health">NSW health</a> or government authorities. Current alerts and a list of hotspots can be found <a href="here">here</a>. You will not be penalised for missing a face-to-face activity due to illness or a requirement to self-isolate. We will work with you to ensure continuity of learning during your isolation and have plans in place for you to catch up on any content or learning activities you may miss. Where this might not be possible, an application for fee remission may be discussed.

If you are required to self-isolate and/or need emotional or financial support, please contact the <u>Nucleus: Student Hub</u>. If you are unable to complete an assessment, or attend a class with an attendance or participation requirement, please let your teacher know and apply for <u>special consideration</u> through the <u>Special Consideration portal</u>. To advise the University of a positive COVID-19 test result or if you suspect you have COVID-19 and are being tested, please fill in this <u>form</u>.

UNSW requires all staff and students to follow NSW Health advice. Any failure to act in accordance with that advice may amount to a breach of the Student Code of Conduct. Please refer to the <u>Safe Return to</u> Campus guide for students for more information on safe practices.

#### **COURSE DETAILS**

#### Credits

This is a 6 UoC course and the expected workload is 15 hours per week throughout the 10 weeks session.

# **Relationship to Other Courses**

This is a postgraduate course offered to students in the Master of Engineering Science (8338) and Master of Engineering Coursework (8621) in the Faculty of Engineering at the University of New South Wales. ELEC 9704 provides some of the ground-work required for this course.

## Pre-requisites and Assumed Knowledge

There is no specific pre-requisite for the course. However, it will be beneficial for students who are familiar with semiconductor technology which is covered in ELEC9704.

It is further assumed that the students are familiar with some basic chemistry, physics, mechanics, electrical engineering etc. The course is very multidisciplinary in nature and students are challenged to do this course with an open mind to learn, be creative and innovate.

Learning outcomes

After the successful completion of the course, the student will be able to:

- 1. Understand a range of technologies used for micro-fabrication.
- 2. Understand the principle of operations of micro-sensors and micro-actuators.
- 3. Analyse and design of micro-sensors and micro-actuators.
- 4. Understand the design flow procedure for MEMS device.
- 5. Simulate micro-actuators using ANSYS simulation software.
- 6. Design micro-fabrication process flow to make MEMS devices.
- 7. Appreciate the multi-disciplinary nature of micro-systems.

This course is designed to provide the above learning outcomes which arise from targeted graduate capabilities listed in *Appendix A*. The targeted graduate capabilities broadly support the UNSW and Faculty of Engineering graduate capabilities (listed in *Appendix B*). This course also addresses the Engineers Australia (National Accreditation Body) Stage I competency standard as outlined in *Appendix C*.

# **Syllabus**

Introduction to Microsystems: an overview and trends; Lithography and Thin Film Processes; Surface micromachining; Bonding Processes; High Aspect Ratio Micromachining (HARM); Mechanics: Properties of materials, structures, energy methods; Actuation mechanisms: Electrostatic, Electromagnetic, Electrothermal, and Piezo-electric; Lumped modelling with circuit elements and system dynamics; Introduction to ANSYS Simulation: Electro-thermal, Piezoelectric and Electrostatic; Optical MEMS, Microfluidic basics and Bio-MEMS.

## **TEACHING STRATEGIES**

# **Delivery Mode**

The teaching in this course aims at establishing a good fundamental understanding of the areas covered using:

- Formal online lectures, which provide you with a focus on the core analytical material in the course, together with qualitative, alternative explanations to aid your understanding;
- There are no separate tutorials but done lectures from time to time. Some self-paced exercises will be given out in class during the course.
- Lectures will be recorded and available on Microsoft Stream after the online Teams lecture. It is not a substitute for missed lectures.

# Learning in this course

You are expected to attend all online lectures, and attempt assignments in order to maximise learning. In addition to the lecture notes/video, you should read relevant sections of the recommended reference text. Reading additional texts will further enhance your learning experience. Group learning is also encouraged. UNSW assumes that self-directed study of this kind is undertaken in addition to attending online classes throughout the course.

# **Tutorial classes**

There are no separate tutorial classes.

## Laboratory program

here are no formal laboratory classes. However, a two-hour computer simulation laboratory session as an introduction to ANSYS will run in week 7 from 7-9pm.

# **ASSESSMENT**

<u>Assignments:</u> There are two compulsory written <u>assignments</u> for this course, which will be released on the course Moodle in Weeks 3 and 7, respectively. The assignments will each be worth 12.5% of the overall mark in total for this course. It is expected that the student's complete assignments on their own. Plagiarism will not be tolerated. Assignment submissions dates are set on Mondays in week 5 and 10 for each assignment, respectively.

**Quiz:** There will be weekly quiz on the topic covered in the previous week. The quizzes will start in week 2 and are administered on Moodle. They are expected to take no more than 10 mins to complete. The questions are taken wholly from what was covered in the lecture notes in the previous week. The purpose of the quizzes is to ensure that students are following the course and to encourage preparation before class.

<u>Mid-session exam:</u> A mid-session exam will be conducted on Monday of week 7 starting at 4:30-6:00pm. Location for the exam will be announced during the course. Note: it will be conducted outside of the normal class lecture times of 6-9pm. It will be a open book exam. Students are strongly advised to take the mid-session exam seriously as it contributes 20% to the overall marks of the course.

Final exam: It will be an open book of 2-hour final exam.

The assessment scheme in this course reflects the intention to assess your learning progress throughout the term.

## Mid-session and Final Exams

The mid-session exam in this course are open-book written examination so is the final exam. University approved calculators are allowed. The examination tests analytical and critical thinking and general understanding of the course material in a controlled fashion. Questions may be drawn from any aspect of the course, unless specifically indicated otherwise by the lecturer. Further details will be given during the lectures. Marks will be assigned according to the correctness of the answers and not the volume of written material in the answer scripts.

**Relationship of Assessment Methods to Learning Outcomes** 

		Learning outcomes					
Assessment	1	2	3	4	5	6	7
Assignment 1	<b>✓</b>	<b>✓</b>	<b>✓</b>	<b>√</b>		<b>✓</b>	
Assignment 2	✓	<b>√</b>	✓	✓	✓	✓	✓
Mid-session exam	<b>√</b>	<b>√</b>	✓	✓			<b>√</b>
Final exam	✓	<b>√</b>	<b>√</b>	✓			✓

#### COURSE RESOURCES

#### Textbooks:

The no textbook set for this course but a good reference book to buy is by JD Plummer or S Sze. The following are the recommended reference books.

In the view of the wide range of disciplines in this course, there is no single textbook that appropriately covers all the course material. Hence, it does not have prescribed textbook. However, the following reference books and articles are recommended:

- 1. MJ Madou, "Fundamentals of Microfabrication", CRC Press (good text to buy)
- 2. GTA Kovacs, "Micromachined Transducers sourcebook," McGraw Hill, 1988.
- 3. S D Senturia, "Microsystems Design", KAP, 2001.
- 4. L. Ristic, "Sensor Tchnonology and Devices", AH, 1994.
- 5. P. Rai-Choudhury, "Microlithography, Micromachining, and Microfabrication", Vo..2, SPIE Press, 1997.
- 6. M Elwenspoek and HV Jansen, "Silicon Micromachining," CUP 1998.
- SA Campbell, "The Science and Engineering of Microelectronics Fabrication"
- 8. S. SZE, "VLSI Technology", McGrawHill
- 9. Gere & Timoshenko, "Mechanics of Materials"
- 10. Roark, "Roark's Formula for Stress and Strain," McGrawHill, 6th Ed, 1989.
- 11. M Lambrechts &W Sansen, "Biosensor- Micorelectromechanical devices", IOP, 1992.
- 12. JW Gardner, "Microsensors", Wiley, 1994.
- 13. IEEE Journal of Micro-Electro-Mechanical Systems
- 14. Sensors and Actuators A: Physical
- 15. Journal of Micromechanics and Microengineering
- 16. Proceedings from Transducers conferences
- 17. Proceedings from IEEE MEMS conferences
- 18. Proceedings from EUROSENSOR conferences
- 19. Procedia Engineering

#### On-line resources

#### Moodle

As a part of the teaching component, Moodle will be used to disseminate materials, host forums: <a href="https://moodle.telt.unsw.edu.au/login/index.php">https://moodle.telt.unsw.edu.au/login/index.php</a>. All information about this course is available from this link which is regularly updated.

## Mailing list

Announcements concerning course information will be given on Moodle and/or via email (which will be sent to your student email address).

# **OTHER MATTERS**

#### Dates to note

Important Dates available at: https://student.unsw.edu.au/dates

## **Academic Honesty and Plagiarism**

Plagiarism is the unacknowledged use of other people's work, including the copying of assignment works and laboratory results from other students. Plagiarism is considered a form of academic misconduct, and the University has very strict rules that include some severe penalties. For UNSW policies, penalties and information to help you avoid plagiarism, see <a href="https://student.unsw.edu.au/plagiarism">https://student.unsw.edu.au/plagiarism</a>. To find out if you understand plagiarism correctly, try this short quiz: <a href="https://student.unsw.edu.au/plagiarism-quiz">https://student.unsw.edu.au/plagiarism-quiz</a>.

# **Student Responsibilities and Conduct**

Students are expected to be familiar with and adhere to all UNSW policies (see <a href="https://student.unsw.edu.au/guide">https://student.unsw.edu.au/guide</a>), and particular attention is drawn to the following:

#### Workload

It is expected that you will spend at least **15 hours per week** studying a 46UoC course, from Week 1 until the final assessment, including both online classes and *independent*, *self-directed study*. In periods where you need to need to complete assignments or prepare for examinations, the workload may be greater. Over-commitment has been a common source of failure for many students. You should take the required workload into account when planning how to balance study with employment and other activities.

#### **Attendance**

Regular and punctual attendance at all classes is expected. UNSW regulations state that if students attend less than 80% of scheduled class they may be refused final assessment.

#### General Conduct and Behaviour

Consideration and respect for the needs of your fellow students and teaching staff is an expectation. Conduct which unduly disrupts or interferes with a class is not acceptable and students may be asked to leave the class.

#### Work Health and Safety

UNSW policy requires each person to work safely and responsibly, in order to avoid personal injury and to protect the safety of others.

# **Ethics approval**

The thesis work may require ethics approval. Does your thesis involve other people doing something for you? If so, it may require ethics approval. The basic principle is that if you want people to provide you with something, even if just 5 min of their time to answer questions, then you should (i) treat them with suitable dignity and (ii) ensure any possibility that they may be badly affected is absolutely minimised. When research at UNSW involves people, then it comes under the oversight of the UNSW Ethics Committee which must give approval before it proceeds.

You will need to get approval if your project involves any of the following (more than one may apply):

- a survey, even if done on-line
- an interview, focus group, or other such "qualitative" method
- data-mining, when individual identities might be revealed
- behavioural observation, e.g. people using something, choices people make, on-line activities
- recording or photography of people, even if in public spaces
- experiments on human reactions (or other abilities)
- human performance, e.g. running, falling, playing music
- testing a device
- tasting or smelling, e.g. foods
- drug trials, body tissues and other medical activities.
- experiments on animals

If your project does require approval, in the first instance, discuss it with your supervisor.

## **Special Consideration and Supplementary Examinations**

You must submit all assignments and attend all examinations scheduled for your course. You can apply for special consideration when illness or other circumstances beyond your control interfere with an assessment performance. If you need to submit an application for special consideration for an exam or assessment, you must submit the application **prior to the start** of the exam or before the assessment is submitted, except where illness or misadventure prevent you from doing so. Be aware of the "fit to sit/submit" rule which means that if you sit an exam or submit an assignment, you are declaring yourself well enough to do so and cannot later apply for Special Consideration. For more information and how to apply, see <a href="https://student.unsw.edu.au/special-consideration">https://student.unsw.edu.au/special-consideration</a>

# **Continual Course Improvement**

This course is under constant revision in order to improve the learning outcomes for all students. Please forward any feedback (positive or negative) on the course to the course convener or via the Course and Teaching Evaluation and Improvement Process. You can also provide feedback to ELSOC who will raise your concerns at student focus group meetings.

In our efforts to provide a rich and meaningful learning experience, we have continued to evaluate and modify our delivery and assessment methods. The assessment criteria and marking guidelines have been extensively revised together with clearly-defined policies on handling marking differences and late submission of work. Course

administration is now via Moodle where students can access online databases, find supervisors to sign up topic, obtain course material and submit their work for assessment.

## **Administrative Matters**

On issues and procedures regarding such matters as special needs, equity and diversity, occupational health and safety, enrolment, rights, and general expectations of students, please refer to the School and UNSW policies: <a href="http://www.engineering.unsw.edu.au/electrical-engineering/policies-and-procedures">http://www.engineering.unsw.edu.au/electrical-engineering/policies-and-procedures</a>
<a href="https://student.unsw.edu.au/guide">https://student.unsw.edu.au/guide</a>

#### **APPENDICES**

#### Appendix A: Targeted Graduate Capabilities

Electrical Engineering and Telecommunications programs are designed to address the following targeted capabilities which were developed by the School in conjunction with the requirements of professional and industry bodies:

- The ability to apply knowledge of basic science and fundamental technologies;
- The skills to communicate effectively, not only with engineers but also with the wider community;
- The capability to undertake challenging analysis and design problems and find optimal solutions;
- Expertise in decomposing a problem into its constituent parts, and in defining the scope of each part;
- A working knowledge of how to locate required information and use information resources to their maximum advantage;
- Proficiency in developing and implementing project plans, investigating alternative solutions, and critically evaluating differing strategies;
- An understanding of the social, cultural and global responsibilities of the professional engineer;
- The ability to work effectively as an individual or in a team;
- An understanding of professional and ethical responsibilities;
- The ability to engage in lifelong independent and reflective learning.

## **Appendix B: UNSW Graduate Capabilities**

The course delivery methods and course content directly or indirectly addresses a number of core UNSW graduate capabilities, as follows:

- Developing scholars who have a deep understanding of their discipline, mostly through self-study with little guidance from staff.
- Developing rigorous analysis, critique, and reflection, and ability to apply knowledge and skills to solving problems encountered in the course of thesis work.
- Developing capable independent and collaborative enquiry, through self-study and information gathering spanning the duration of the course.
- Developing digital and information literacy and lifelong learning skills through the literature review and selective gathering of background technical information required for the project.
- Developing ethical practitioners who are collaborative and effective team workers, through group activities, seminars.
- Developing independent, self-directed professionals who are enterprising, innovative, creative and responsive to change, through challenging design and project tasks.
- Developing citizens who can apply their discipline in other contexts, are culturally aware and environmentally responsible, through interdisciplinary tasks, seminars and group activities.

# Appendix C: Engineers Australia (EA) Professional Engineer Competency Standard

	Program Intended Learning Outcomes	
4)	PE1.1 Comprehensive, theory-based understanding of underpinning fundamentals	3
Knowledge Skill Base	PE1.2 Conceptual understanding of underpinning maths, analysis, statistics, computing	1,2,3,6
Kno Skil	PE1.3 In-depth understanding of specialist bodies of knowledge	1,2,3,4,6
PE1: and	PE1.4 Discernment of knowledge development and research directions	1,2,3,7
	PE1.5 Knowledge of engineering design practice	3,5,6

	PE1.6 Understanding of scope, principles, norms, accountabilities of sustainable engineering practice	
	PE2.1 Application of established engineering methods to complex problem solving	3,5,6
: ring tion	PE2.2 Fluent application of engineering techniques, tools and resources	3,5,6
PE2: Engineering Application	PE2.3 Application of systematic engineering synthesis and design processes	3,5,6
Eng	PE2.4 Application of systematic approaches to the conduct and management of engineering projects	
_	PE3.1 Ethical conduct and professional accountability	
ona lal	PE3.2 Effective oral and written communication (professional and lay domains)	3,5,6,7
rofessional Personal ributes	PE3.3 Creative, innovative and pro-active demeanour	3,5,6
	PE3.4 Professional use and management of information	3,5,6
PE3: P and Att	PE3.5 Orderly management of self, and professional conduct	
	PE3.6 Effective team membership and team leadership	