Course Staff

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Consultations: You are encouraged to ask questions on the course material, after the lecture class times in the first instance, rather than via email. Lecturer consultation times will be advised in this course outline. You are welcome to email the tutor or laboratory demonstrator, who can answer your questions relating to the tutorials and labs. ALL email enquiries should be made from your student email address with ELEC4631 in the subject line, otherwise they will not be answered.

Course Details

Credits

This is a 6 UoC course and the expected workload is 10–12 hours per week throughout the 13 week semester.

Contact Hours

The course consists of 2 hours of lectures, a 1-hour tutorial, a 3-hour laboratory session each week (every two weeks for each individual student), and one consultation hour with the lecturer (if required). **Attendance will be taken for lectures, tutorials and laboratories. Please only attend tutorial and lab groups that you signed up for.**

	Day	**Time**	**Location**
Lectures	Wednesday	12 pm - 2 pm	TETB LG03
Tutorials	Wednesday	2 pm - 3pm	ChemSc M11
Consultations	Wednesday	11 am – 12 pm	NewMaterScience, 742

Context and Aims

ELEC 4631 will give students an introduction to elements of modern control theory. In recent years, modern systems and control theory has found numerous interesting applications in broad areas of automation, signal processing, communication, economics, finance, circuit analysis, mechanical and civil engineering, aeronautics, navigation and guidance etc. The purpose of this course is to expand the students' knowledge of the field of control engineering and systems and control theory by providing them with fundamental concepts and problem solutions from modern systems and control theory that are useful for the above mentioned applications.

The aims of the course are to:

1. Further enhance students' understanding of simple as well as more complex continuous-time control systems.
2. Give a deeper introduction to state-space representation of control systems, particularly for single input single output (SISO) linear systems.
3. Help students to understand the importance of the system state.
4. Familiarise students with the stability, controllability, and observability concept for linear systems.
5. Give students an understanding of basic analysis and synthesis of state-space control systems.
6. Provide opportunities for students to gain practical experience in the use of computer state-space design and analysis tools in Matlab and Simulink.

Pre-requisites and Assumed Knowledge

The pre-requisite for this course is ELEC3114 Control Systems. It is also essential that you are familiar with MATH2069, Mathematics 2A, and MATH2099, Mathematics 2B, before this course is attempted. Students are expected to be familiar and comfortable with:

- **Vector calculus:** functions of several variables, multivariable calculus, scalar fields, vector fields, gradients (MATH2069)
- **Linear algebra**: matrices, matrix inversion, matrix determinant, vectors, vector spaces, basis vectors, linearly independence, linear span of vectors, null/kernel spaces, characteristic equations, eigenvalues, eigenvectors, diagonalisation of a matrix, exponentiation of a matrix, Jordan canonical form (MATH2099)
- **Control systems:** Laplace transform, inverse Laplace transform, transfer functions, poles and zeros, feedback loop, steady-state error (ELEC3114)

Student Learning outcomes

After the successful completion of the course, the student will be able to:

1. Understand the notion of stability and know how to analyse stability using the direct and indirect methods of Lyapunov.

2. Demonstrate the ability to analyse simple to moderately complex control systems using Lyapunov theory.
3. Demonstrate a satisfactory understanding of linear systems and the fundamental notions of controllability and observability.
4. Demonstrate the ability to apply concepts and methods from modern control theory to design state feedback controllers, observers, and output feedback controllers for linear systems.
5. Demonstrate the ability to synthesize control systems by convex optimization methodology.
6. Demonstrate the ability to synthesize modern state space controllers using Matlab and Simulink.

This course is designed to provide the above learning outcomes which arise from targeted graduate capabilities listed in *Appendix A*. The targeted graduate capabilities broadly support the UNSW and Faculty of Engineering graduate attributes (listed in *Appendix B*). This course also addresses the Engineers Australia (National Accreditation Body) Stage I competency standard as outlined in *Appendix C*.

Course description

Overview of systems and control with emphasis on modern and post-modern developments. Mathematical tools: matrices, quadratic forms and eigenvalue decompositions. Modelling of linear time-invariant systems by using state-space equations. Controllability and observability of linear time invariant systems. Linear quadratic regulator. Servo-regulator control. Lyapunov stability. Observers. State and output feedback control design. Optimisation based techniques.

Teaching Strategies

Delivery Mode

The teaching in this course aims at establishing a good fundamental understanding of the areas covered using:

- Formal face-to-face lectures, which provide you with a focus on the core analytical material in the course, together with qualitative, alternative explanations to aid your understanding;

- Tutorials, which allow for exercises in problem solving and allow time for you to resolve problems in understanding of lecture material;

- Laboratory sessions, which support the formal lecture material and also provide you with practical construction, measurement and debugging skills;

- Consultations with the lecturer outside of class hours, according to the scheduled times.

Learning in this course

You are expected to attend <u>all</u> lectures, tutorials, labs, and mid-semester exams in order to maximise learning. You must prepare well for your laboratory classes. Reading additional texts beyond the lecture notes will further enhance your learning experience. Group learning

is also encouraged. UNSW *assumes* that self-directed study of this kind is undertaken in addition to attending face-to-face classes throughout the course.

Especially important are learning strategies that you have to adopt.

- Learning is effortful - you have to make the effort.

- You have to develop your own mental models for how things work. I can give you insights, but you have to develop your own "schema".

- You learn from errors and from discovering misconceptions.

- You cannot do this just by listening or reading. You have to try things out.

- Firstly, close your books and explain and write down concepts for yourself or for friends. Check. If your concept is not complete and accurate, do it again. You learn through the tip of your pen.

- Do all the tutorial problems to test your new-found understanding.

- You learn by doing. Make sure you become competent in the laboratory. Always come prepared before attending the labs, and pace yourself to complete in-lab tasks and experiments within the assigned lab time.

- The ultimate test of whether you have learned something is whether you can use it next year, or when you begin working. Only your schema are enduring. You will forget details, and setting out to simply memorise things is worthless - of minor assistance for exams only

Indicative Lecture Schedule

Week No	Summary of Lecture Program
1	Brief review of linear algebra and vector calculus
2	Brief review of linear algebra and vector calculus
3	Dynamical systems, state stability, and Lyapunov functions
4	State-feedback control using Lyapunov functions
5	Linear time invariant state-space systems.
6	Controllability, observability, and pole placement
7	Introduction to linear quadratic regulator (LQR) theory. **Midsession exam**
8	Observers, output feedback, and the first method of Lyapunov
9	An introduction to linear matrix inequalities (LMIs)

10	Controller and observer synthesis using LMIs.
11	State and output feedback control for reference tracking
12	Introduction to multiple input multiple output (MIMO) LTI state-space systems. Review lecture.
13	

Tutorial classes

You should attempt all of your problem sheet questions in advance of attending the tutorial classes. The importance of adequate preparation prior to each tutorial cannot be overemphasized, as the effectiveness and usefulness of the tutorial depends to a large extent on this preparation. Group learning is encouraged. Answers for these questions will be discussed during the tutorial class and the tutor will cover the more complex questions in the tutorial class.

Treat tutorial problems as if they are assignments. Questions similar to (but not identical) to tutorial problems can be expected in the mid-session and final exams. Tutorial sessions start in Week 2.

Laboratory program

The laboratory schedule is deliberately designed to provide practical, hands-on exposure to the concepts conveyed in lectures after they are covered in class. You are required to maintain a lab book for recording your observations. A lab book is an A4 size notebook containing a mix of plain pages and graph sheets. You have to purchase you own lab book from any store.

Laboratory attendance WILL be kept and you are expected to attend all four labs from Week 4 to Week 11.

Indicative Laboratory Schedule

Period	Summary of Laboratory Program
Weeks 4, 5	Lab 1
Mid-session break	
Weeks 6, 7	Lab 2
Weeks 8, 9	Lab 3
Week 10, 11	Lab 4

Laboratory Exemption

Lab exemption will only be considered for students who had taken this course in S1 2014 and passed the lab component of the course. Such students will have to submit a formal lab exemption request via the School Office. All other students enrolled in this course for Semester 1, 2015 must take the labs. If, for medical reasons, you are unable to attend a lab **(note that a valid medical certificate must be submitted to the lecturer)**, you will need to apply for a catch-up lab during another lab time, as agreed by the lecturer.

Assessment

The assessment scheme in this course reflects the intention to assess your learning progress through the semester. Ongoing assessment occurs through lab sessions and the mid-semester exam.

Mid-Session Exam	**Written 1 hour examination in Week 7 to test your ability to do analytical calculations relating to materials up to Week 6.**	20%
Labs		20%
Final Examination	**Written 3 hour examination covering all lecture, tutorial, and, possibly, laboratory materials.**	60%

Mid-Session Exam

The mid-session examination is a one hour closed-book exam that tests your general understanding of the course material, and is designed to give you feedback on your progress through the analytical components of the course. Questions may be drawn from any course material up to the end of week 6. It may contain questions requiring some (not extensive) knowledge of laboratory material, and will definitely contain numerical and analytical questions. Marks will be assigned according to the correctness of the responses.

Final Exam

The exam in this course is a standard closed-book 3 hour written examination, composed of five compulsory questions. University approved calculators are allowed. The examination tests analytical and critical thinking and general understanding of the course material in a controlled fashion. Questions may be drawn from any aspect of the course (including

laboratory), unless specifically indicated otherwise by the lecturer. Marks will be assigned according to the correctness of the responses.

Relationship of Assessment Methods to Learning Outcomes

Assessment	Learning outcomes					
	1	2	3	4	5	6
Mid-session exam	✓	✓	✓	-	-	-
Labs	✓	-	-	✓	✓	✓
Final exam	✓	✓	✓	✓	✓	-

Course Resources

On-line resources

Moodle

As a part of the teaching component, Moodle will be used to disseminate teaching materials, host forums and occasionally quizzes. Assessment marks will also be made available via Moodle: https://moodle.telt.unsw.edu.au/login/index.php.

Mailing list

Announcements concerning course information will be given in the lectures and/or on Moodle and/or via email (which will be sent to your student email address).

Lecture notes

Lecture notes will be posted on Moodle.

Further texts and references

Additional resources that will be useful as references besides the lecture notes are

1. Franklin, Powell and Emami-Naeini, Feedback Control of Dynamic Systems, 6th edition, Addison-Wesley.
2. Two courses EE263 "Introduction to Linear Dynamical Systems" and EE363 "Linear Dynamical Systems" at Stanford University (USA). All info available on the websites: http://www.stanford.edu/class/ee263/ and http://www.stanford.edu/class/ee363/

Other Matters

Academic Honesty and Plagiarism

Plagiarism is the unacknowledged use of other people's work, including the copying of assignment works and laboratory results from other students. Plagiarism is considered a form of academic misconduct, and the University has very strict rules that include some severe penalties. For UNSW policies, penalties and information to help you avoid plagiarism, see http://www.lc.unsw.edu.au/plagiarism. To find out if you understand plagiarism correctly, try this short quiz: https://student.unsw.edu.au/plagiarism-quiz.

Student Responsibilities and Conduct

Students are expected to be familiar with and adhere to all UNSW policies (see https://my.unsw.edu.au/student/atoz/ABC.html), and particular attention is drawn to the following:

Workload

It is expected that you will spend at least **ten to twelve hours per week** studying a 6 UoC course, from Week 1 until the final assessment, including both face-to-face classes and *independent, self-directed study*. In periods where you need to need to complete assignments or prepare for examinations, the workload may be greater. Over-commitment has been a common source of failure for many students. You should take the required workload into account when planning how to balance study with employment and other activities.

Attendance

Regular and punctual attendance at all classes is expected. UNSW regulations state that if students attend less than 80% of scheduled classes they may be refused final assessment.

General Conduct and Behaviour

Consideration and respect for the needs of your fellow students and teaching staff is an expectation. Conduct which unduly disrupts or interferes with a class is not acceptable and students may be asked to leave the class.

Work Health and Safety

UNSW policy requires each person to work safely and responsibly, in order to avoid personal injury and to protect the safety of others.

Keeping Informed

Announcements may be made during classes, via email (to your student email address) or via online learning and teaching platforms like Moodle. From time to time, UNSW will send important announcements via these media without providing any paper copy. Please note that you will be deemed to have received this information, so you should take careful note of all announcements.

Special Consideration and Supplementary Examinations

You must submit all assignments and attend all examinations scheduled for your course. You should seek assistance early if you suffer illness or misadventure which affects your course progress. All applications for special consideration must be **lodged online through**

myUNSW within 3 working days of the assessment, not to course or school staff. For more detail, consult https://my.unsw.edu.au/student/atoz/SpecialConsideration.html.

Continual Course Improvement

This course is under constant revision in order to improve the learning outcomes for all students. Please forward any feedback (positive or negative) on the course to the course convener or via the Course and Teaching Evaluation and Improvement Process. You can also provide feedback to ELSOC who will raise your concerns at student focus group meetings. As a result of previous feedback obtained for this course and in our efforts to provide a rich and meaningful learning experience, we have continued to evaluate and modify our delivery and assessment methods.

Administrative Matters

On issues and procedures regarding such matters as special needs, equity and diversity, occupational health and safety, enrolment, rights, and general expectations of students, please refer to the School and UNSW policies:
http://www.engineering.unsw.edu.au/electrical-engineering/policies-and-procedures
https://my.unsw.edu.au/student/atoz/ABC.html

Appendix A: Targeted Graduate Capabilities

Electrical Engineering and Telecommunications programs are designed to address the following targeted capabilities which were developed by the school in conjunction with the requirements of professional and industry bodies:

- The ability to apply knowledge of basic science and fundamental technologies;
- The skills to communicate effectively, not only with engineers but also with the wider community;
- The capability to undertake challenging analysis and design problems and find optimal solutions;
- Expertise in decomposing a problem into its constituent parts, and in defining the scope of each part;
- A working knowledge of how to locate required information and use information resources to their maximum advantage;
- Proficiency in developing and implementing project plans, investigating alternative solutions, and critically evaluating differing strategies;
- An understanding of the social, cultural and global responsibilities of the professional engineer;
- The ability to work effectively as an individual or in a team;
- An understanding of professional and ethical responsibilities;
- The ability to engage in lifelong independent and reflective learning.

Appendix B: UNSW Graduate Attributes

The course delivery methods and course content addresses a number of core UNSW graduate attributes, as follows:

- Developing scholars who have a deep understanding of their discipline, through lectures and solution of analytical problems in tutorials and assessed by assignments and written examinations.
- Developing rigorous analysis, critique, and reflection, and ability to apply knowledge and skills to solving problems. These will be achieved by the laboratory experiments and interactive checkpoint assessments and lab exams during the labs.
- Developing capable independent and collaborative enquiry, through a series of tutorials spanning the duration of the course.
- Developing digital and information literacy and lifelong learning skills through assignment work.

Appendix C: Engineers Australia (EA) Professional Engineer Competency Standard

	Program Intended Learning Outcomes	
PE1: Knowledge and Skill Base	PE1.1 Comprehensive, theory-based understanding of underpinning fundamentals	✓
	PE1.2 Conceptual understanding of underpinning maths, analysis, statistics, computing	✓
	PE1.3 In-depth understanding of specialist bodies of knowledge	✓
	PE1.4 Discernment of knowledge development and research directions	
	PE1.5 Knowledge of engineering design practice	✓
	PE1.6 Understanding of scope, principles, norms, accountabilities of sustainable engineering practice	
PE2: Engineering Application Ability	PE2.1 Application of established engineering methods to complex problem solving	✓
	PE2.2 Fluent application of engineering techniques, tools and resources	✓
	PE2.3 Application of systematic engineering synthesis and design processes	
	PE2.4 Application of systematic approaches to the conduct and management of engineering projects	
PE3: Professional and Personal Attribut	PE3.1 Ethical conduct and professional accountability	
	PE3.2 Effective oral and written communication (professional and lay domains)	✓
	PE3.3 Creative, innovative and pro-active demeanour	✓
	PE3.4 Professional use and management of information	✓
	PE3.5 Orderly management of self, and professional conduct	

es	PE3.6 Effective team membership and team leadership	✓